



Initiative of excellence

Support for scientific excellence and the internationalisation of research

Action Plan 2025

Calls for proposals and application details

04/11/2024

Pre-application deadline : 6 December 2024, 13:00 CET. Final application deadline : 24 January 2025, 13:00 CET

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1. Context, objectives and priorities

1.1. Institutional Setting

CY Initiative launches a ninth action plan in support of scientific excellence and the internationalisation of research.

CY Initiative is the Excellence Initiative of CY Cergy Paris University and ESSEC. CY Initiative has an annual budget of \notin 7.4 million, 75% of which (\notin 5.1 million) is dedicated to scientific development. The main objective of CY Initiative is to promote research excellence and to strengthen the scientific potential of partner institutions.

1.2. Objectives

The 2025 action plan aims primarily to strengthen the scientific potential of CY Initiative. It seeks to increase scientific output and its impact, enhance international openness and visibility, and promote collaborations, both internal and with external partners.

The 2025 action plan builds on the calls for proposals opened since 2017. It is based on two funding programs, Emergence and Horizon, presented in section 2. These **programs complement existing funding mechanisms** at the national level (notably ANR) and international level (notably Horizon Europe), as well as research support programs established under the European University EUTOPIA, and the Fellows-in-Residence programs (led by CY Advanced Studies) for hosting researchers in residence, and EUTOPIA PhD cotutelle (led by the Doctoral and Post-doctoral College) for funding cotutelle theses with EUTOPIA partners, which are subject to specific calls for proposals. Researchers and research teams from CY Initiative applying for the 2025 action plan programs are expected to develop a medium-term development strategy that articulates the various internal and external support instruments.

The action plan supports **original research projects** led by both individual researchers and research teams, aiming to explore new research themes or consolidate existing expertise. The scientific quality of the projects, their contribution to the visibility of research at CYU and ESSEC, and their alignment with the site's excellence dynamics will be decisive.

The plan also aims to enhance the **attractiveness and visibility of CY Initiative internationally** by attracting foreign researchers eager to engage in collaborations with these teams. The targeted projects will also contribute to strengthening doctoral and post-doctoral training.

The action plan encourages the deployment of ambitious research strategies developed at the level of teams, laboratories, and departments within CY Initiative. Selected projects will have a **structuring effect on the activities of research centres and laboratories**, allowing them to overcome identified development barriers and explore high-potential research areas to make a significant advancement towards increasing visibility and impact. The action plan also encourages the emergence of transversal projects involving multiple research entities within CY Initiative.

The 2025 action plan promotes the development of international scientific collaborations, in particular with the CY Initiative's strategic partners as part of its international Europe-Africa-Asia strategy: in Europe with all the partner universities of the European University <u>EUTOPIA</u> (<u>Université de Warwick</u>, <u>Vrije Universiteit Brussel (VUB)</u>, <u>Université de Ljubljana</u>, <u>Université Pompeu Fabra</u>, <u>Université de Göteborg</u>, <u>Ca' Foscari University of Venice</u>, <u>Technische Universität Dresden</u>, <u>NOVA University Lisbon</u>, <u>University of Babes-Bolyai</u>) and its international partners (<u>Monash University</u> in Australia, <u>International University of Rabat</u> in Maroco, <u>Kyungpook National University</u> in South Corea, <u>Stellenbosch University</u>

in South Africa and <u>Arizona State University</u> aux USA). In Asia, a privileged partnership has been established in Singapour with the <u>Nanyang Technological University</u>, and also with the international research laboratory of IPAL with the <u>National University of Singapore</u> and <u>A*STAR</u>, and in South Africa with <u>University of the Western Cape</u>. Other partnerships are under development (several universities in Cameroon, the University of Mauritius, etc.). Collaborative projects with these institutions are strongly encouraged but collaborations with other institutions will also be appreciated and evaluated.

Projects funded under the various programs of the 2025 action plan are expected to initiate a dynamic that allows researchers, research teams, and institutions at different levels to achieve a sustainable **strengthening of their scientific potential, positioning, and visibility**. Selected projects must demonstrate their ability to attract external funding that extends their scientific ambitions beyond the financing obtained through the 2025 action plan.

1.3. Research Areas

This call for proposals is open to **fundamental or applied research projects across all scientific disciplines.**

Beyond the scientific quality of the projects, CY Initiative aims to shed light on major contemporary societal issues in the light of the research results. These major issues will be approached in their overall complexity, promoting an interdisciplinary approach that mobilizes various disciplines within the major disciplinary domains, and broadly intersects social sciences or modelling sciences with other fields.

The call for proposals complements existing mechanisms to support scientific dynamics, particularly the Laboratory of Excellence (LabEx) initiatives. It will both extend the excellence dynamic to other scientific fields and strengthen already recognized centres of excellence. Projects falling under the LabEx domain must be prioritized for submission to these initiatives. If they are also submitted under this call for proposals, the submission to this AAP must be specified, explained, and justified.

Projects with a central goal of collaboration with a company and aiming for research leading to industrial or socio-economic applicability should rely on the funding tools provided by CY Transfer, including the FIRST program.

Priority Thematic Areas

Particular attention will be given to projects that fall within the main research areas of CY Initiative partners, as identified in their scientific development strategies:

- Modelling Sciences
- Heritage Sciences and Materials
- Education Sciences
- Risks, Security, and Territories

In addition to these disciplinary areas, two interdisciplinary themes with high societal impact are prioritized within this scientific strategy:

• Health

Applications of Artificial Intelligence for Science and Society

A final strategic interdisciplinary theme, Societal and Environmental Transition, is the subject of the CY Generations call for projects.

2. Funding Programmes

The CY Initiative action plan offers two funding programmes:

- The **Emergence programme** aims to support the development of innovative research projects, led by researchers with a view to preparing an application for external funding;
- The **Horizon programme** aims to support ambitious research programs, developed at the level of a research team and aimed at preparing an application for large-scale external funding, particularly European funding.

2.1. The Emergence Programme

Emerging research projects with high potential may be supported for **a period of two (2) years**, up to a maximum of €50k. The objective of this financial support is to enable the advancement of research work and the setting up of a project with a view to applying for external financial support at national (notably ANR), European (ESF, ERC, FP, Horizon Europe - see non-exhaustive list in Annexe 4 -) or international level. Applicants for this support undertake to apply for external funding, as a promoter, to national or international agencies during the two years covered by the project.

The project submitted must involve the work of a small team (at least two researchers), allow the exploration of new research themes, the establishment of a network of partners, internals and externals to CY Initiative, for the submission of the external funding application, and be in line with the scientific development strategy of the supporting entities, institutions and CY Initiative.

It should be noted that the Emergence programme, while focusing on the priority themes mentioned above (see section 1.3), is generally open to all areas of research.

EMERGENCE Indicative funding: 25 to 50k€ per project – 24 months Target number of projects (estimate): 9 projects

2.2. The Horizon Programme

The Horizon programme aims to support ambitious scientific projects in strategic research areas that will have a significant leverage effect on the production, impact and scientific visibility of teams. **The projects will be financed over 4 years** and will allow the team to position itself with international visibility in the targeted strategic research field, with a view to preparing an application for large-scale external funding, particularly at European level.

Projects submitted to the Horizon programme will be carried out at the level of a research team. Teams may be anchored in existing laboratories or research departments but may also bring together researchers from different CY Initiative research centres or laboratories within an interdisciplinary project.

Applications must demonstrate both the excellence of the research and its impact. Projects will be at the heart of an explicit strategy highlighting the expected structuring effects in terms of international visibility (as evidenced by the impact of the project on publications and rankings), scientific positioning,

external partnerships and attractiveness. Applicants should explain the societal relevance of their project and the proposed dissemination and impact strategies.

The second area of project evaluation is that of building of the network of researchers in preparation for applying for large-scale external funding (see non-exhaustive list in Annexe 4 -). Applicant projects should detail the strategy for building a consortium capable of successfully applying for the targeted external funding.

A mid-term report will be requested from the principal investigator (PI), to assess the progress of the scientific aspects and the constitution of the network of researchers.

The financial support granted to this programme in the framework of this call for proposals may include: a research budget, teaching release time for the researchers involved, funding of human resources (notably PhD and post-doctoral).

Projects submitted to the Horizon programme must be in line with the scientific development strategy of the supporting entities, institutions and CY Initiative. Unlike the Emergence programme, which is more broadly open to all scientific fields, the Horizon programme will give strong priority to the priority themes mentioned above (see section 1.3), without excluding the funding of projects of excellent scientific quality outside these themes.

<u>HORIZON</u>

Indicative funding: 150 à 200 k€ per project – 48 months Target number of projects (estimate): 5 projects

3. Practical details of the Calls for Proposals

3.1. Timeline and General Provisions

The timetable for the 2025 action plan for the Emergence and Horizon programmes is as follows:

- **Opening** of the call for proposals: **4 November 2024**
- Pre-application submission: from 4 November to 6 December 2024, at 13:00 (CET).
- Final application submission : from 9 December 2024 to 24 January 2025, at 13:00 (CET).
- Decision of the CY Initiative Executive Committee : end of April 2025
- Project Start-up: between September & November 2025 (to be defined by the PI)

The submission must be made online via the application platform: <u>https://cy-initiative.smapply.io/</u>

The application documents of each programme will be available on this platform from 4 November 2024.

3.2. Pre-application

The **pre-application is mandatory** for all projects. It consists of an online form including a summary of the project in English and French (maximum 2000 characters) and the team members. All these elements may be modified during the final application phase.

Once the pre-application phase is closed, the directors of the research centres will be informed of the pre-applications submitted by a member of their entity.

3.3. Final application

The **final application** includes an online form containing the elements of the pre-application (which can be modified) and a questionnaire on the ethical aspects of the project's research protocols. It also includes an application file containing a presentation of the scientific project, a description of its scientific development strategy and the details of its implementation. The objectives of the project in terms of scientific quality, impact and visibility must be described, as well as, for the Horizon programme, their structuring effect on the strategy of the laboratory or research team submitting/supporting it.

The application must be completed with an **appendix** and a **letter of support** from the director of the PI's research centre.

The application may be written in English or French. English will be preferred to allow evaluation by non-French-speaking experts.

3.4. Admissibility

Applications will be considered eligible if:

- submitted before the closing date and time of the call: Friday 25 January at 13:00 CET;
- completed on the platform:
 - o General Data Protection Regulation accepted,
 - Information on the Protection of the Scientific and Technical Potential of the Nation (PTSN) policy read,
 - Project description form completed,
 - Research ethics self-assessment form completed,
 - Application file uploaded in PDF format,
 - Appendix uploaded in PDF format,
 - Letter of support uploaded by the director of the research centre¹ in PDF format,
 - Application submission validated;
- application and appendix files comply with the document template provided on the application platform²;
- and letter of support written and signed by the director of the PI's laboratory¹.

3.5. Eligibility

Applications must meet all of the following criteria:

• Applications may be submitted by **researchers who are internal to the CY Initiative**, i.e. who work in one of the <u>CY Initiative partner institutions</u> (CY Cergy Paris Université, ESSEC) **and are**

¹ If the PI is also a laboratory director, the deputy director may write the letter of support.

 $^{^{2}}$ In both the application and the appendix, sections exceeding the maximum number of pages allowed will be <u>truncated</u> before being sent to the reviewers.

members of one of the research laboratories of these institutions declared to the RNSR (see Annexe 2 -). CNRS researchers who are members of these laboratories are also eligible

Note: If the project includes members from several CY Initiative institutions (CY Cergy Paris University and ESSEC), the project budget may be distributed between these institutions. Institutions involved in the project, external to CY Initiative, will not be eligible for funding.

- The project must involve the work of a <u>team</u> of **at least two CY Initiative researchers**. Researchers involved in the project and belonging to other institutions (outside the CY Initiative) are not considered for this eligibility criterion related to the size of the team.
- <u>The PI</u> may be a **researcher**, **teacher-researcher** or **research engineer**. Their contract must cover the entire duration of the project (24 months for Emergence; 48 months for Horizon). Doctoral students cannot be project leaders.

Note: Doctoral students or candidates for a doctoral or post-doctoral fellowship under this call for proposals are not authorised to apply on their own. Applications for funding for such recruitment may be included, in particular for the Horizon programme, and submitted by the project leader. Preference will be given to full applications, including the pre-identified candidate, for doctoral and post-doctoral contracts.

 <u>Projects previously funded</u> by CY Initiative (whatever the programme) cannot be the subject of new funding. It is possible for a researcher who has already received funding to apply by submitting **a new project** (different subject and team).

Note: in the case of previous projects submitted by an applicant, particular attention will be paid to the results of the previous project(s) in terms of the expected deliverables (submission of external applications, dissemination, etc.).

• The same PI may submit <u>several projects</u> to the 2025 call (Horizon and/or Emergence), provided that they are different projects (different subject and team). It is not recommended to submit the same application to both programmes (Emerging and Horizon). In the event of a favourable evaluation for multiple projects from the same PI, **only one project will be funded**.

Note: particular attention will be paid to the workload of PI with regard to their ongoing projects at the potential start date of the projects (September 2025). The availability of PI is considered in the evaluation of projects (see section 3.6, specific criteria for phase 2 evaluation).

3.6. Evaluations et results

Applications will be evaluated in 2 phases.

Phase 1 : external reviewers

Each application is submitted for assessment by at least **2 external reviewers** to CY Initiative, recognised as experts on the subject and covering the areas addressed by the project. Each evaluation is justified by the expert.

Considered criteria:

- <u>Scientific quality</u>: scientific quality, innovative character and ambition of the research project; quality of the scientific team;
- <u>Impact and sustainability strategy</u>: Clarity and relevance of the exploitation strategy; overall impact of the project; relevance of the sustainability strategy;
- <u>Implementation</u>: consistency of the work plan and resources requested with the project's objectives; clarity of the application.

NB: these criteria are repeated in the various sections of the application form, with the same indications as those given to the evaluators.

Phase 2 : scientific committee

Applications are divided into 3 scientific sub-committees according to the main field indicated by the applicant on the form.

Sub-committee	Associated scientific areas		
Modelling sciences	Computer Sciences Mathematics Theorical Physics	Economics Finance Management Marketing	
Experimental Sciences	Biology Chemistry Biochemistry Astrophysics Experimental Physics	Earth Sciences Civil Engineering Material Sciences Electrical engineering Mechanical and electronic engineering	
Human and Social Sciences	Architecture and Landscape Arts History Geography Language et literature Cultural and regional studies	Educational Sciences Cognitive Sciences Sociology Law Political Science Philosophy	

The committees are composed of half internal members from CY Initiative and half external members. Each application is submitted to all members of the thematic subcommittee and is assigned to two rapporteurs who are members of this subcommittee.

The subcommittee relies on the evaluations of phase 1 experts, as well as on specific overall assessment elements related to the call (see below). It establishes an overall evaluation and ranking, which will then be harmonized into a global ranking by the inter-thematic committee, made up of representatives from all subcommittees.

Specific Criteria for Phase 2 Evaluation:

- Prioritization of the thematic areas established by the Executive Bureau (see 1.3 Research Areas)
- Relevance of the application to the call program (see section 2)
- Availability of the project leader
- Compliance with the financial regulations (see Annexe 1 -).

Decision & implementation

The scientific committee's assessments and selection proposals will be forwarded to the CY Initaitive Executive Bureau (BurEx) for its decision.

Once the projects have been selected, a **notification letter** will be sent to the project leader. An **agreement** will then be drawn up and **scientific and financial implementation** will be monitored. The research work and implementation of the funding awarded in this call for proposals should begin between September and November 2025.

The results will be published in May 2025.

3.7. External funding

Selected projects are encouraged to complement the funding from this call with funding from national, European or international agencies (Horizon Europe, ANR, etc., see Annexe 4 -).

These funds will allow either to reach a sufficient level of funding to achieve the objectives of the project during the period of the present call (concurrent external funding, if possible), or to extend the project beyond the period of the present call in order to achieve the final objectives (subsequent external funding). Applications must include a detailed presentation of the project's funding and deployment strategy, including external funding.

For projects involving funding from external partners identified at the time of submission, **an agreement from these partners must be attached to the application** (see Annexe 3 -). The final partnership agreement, including all financial and intellectual property aspects, may however be finalised in the months following the results of this call for proposals, and before October 2025.

3.8. Administrative and Financial regulations

The administrative and financial regulations of the call are available in Annexe 1 -.

NB : PI are invited to contact the departments responsible for administrative and financial support at their institution as soon as possible, and in any case **before 9 December 2024**. They will be assisted in consolidating the projects (financial, eligibility) and, where applicable, the partnership dimension of the projects (financial, PTSN and intellectual property). The departments will be able to check and correct, if necessary, the cost calculations that must be included in the application before the submission deadline.

- Contact for the coordination of the call for proposals (general information, selection process, technical assistance on applications platform, admissibility and eligibility rules): <u>aap-cy-initiative@cyu.fr</u>
- Contact for **administrative and financial support** in setting up projects (depending on the institution of the PI):

Institution of the PI	Administrative and Financial Support		
CY Cergy Paris University	Direction for research: <u>services.valorisation@cyu.fr</u>		
ESSEC	Ellane BORILLA: <u>b00825098@essec.edu</u> Funding Adviser – Grant Office		

<u>Annexe 1 -</u> Administrative and financial regulations

Scientific contact: <u>aap-cy-initiative@cyu.fr</u>

1. Agreement and implementation of the project

After selection, the implementation of the approved projects will take place in several steps:

- Notification to the PI specifying the allocated amount, the budget distribution (by institution and by item), the start date, the duration of execution, the expected deliverables, and whether or not the Research Ethics Committee of the institution needs to be consulted.
- Drawing up and signing of a **financing agreement** (for institutions outside CY Cergy Paris University). This agreement defines the payment schedule and the conditions precedent.
- In the case of co-funding, transmission of an **agreement between the external partner and the CY Initiative member partner** (see § 2), which will specify the implementation terms of the partnership (object, project management, duration, co-funding, clauses governing Intellectual Property). The Directorates in charge of each institution will be accountable for this agreement on behalf of their researchers.
- **Recruitment** of doctoral researchers, post-doctoral researchers, or other personnel by the partner institution of CY Initiative awarded the call for projects, according to the needs identified by the project in compliance with each institution's procedures.
- **Progress** of the work according to the established schedule.
- Reporting and closing of the project (see section 5).

2. Financing and co-financing of the project

Funding for the project will have one or two components:

- A contribution from CY Initiative
- Where appropriate, a contribution from one or more external partner(s), or from another funding agency, in the event of co-financing.

These contributions will be used for the various expenses related to the project, including the salaries, including charges, of the people hired, equipment (for the part co-financed outside CY Initiative only), operating costs, etc. The CY Initiative contribution may not be used to finance teaching leave or management costs.

In the case of co-financing, it is expected that the laboratory/external partner(s) (including company(ies)) will provide balanced funding for these cost categories. The external partner(s) is/are fully authorised to contribute more than this if equipment purchases prove necessary.

The full cost of the project borne by the partners must be submitted (whether co-financing or not).

Teams from academic laboratories will have to provide a cost sheet evaluating all the resources committed to the project by the research unit and by the supervisory bodies, in conjunction with/with the support of the department in charge of their institution.

The supervisory bodies of the academic laboratories will bear the costs of the infrastructure/environment and permanent staff, and CY Initiative will bear part of the additional costs required to carry out the project.

In the case of co-funding or collaborative projects, and therefore discussions with external and/or industrial partners, it is strongly recommended that a confidentiality agreement (NDA) be signed. In the case of co-financing with a socio-economic partner, the directorates in charge of the above must be contacted in order to consolidate the partners' commitments (intellectual property (IP) and financial aspects) by mid-January. Co-financing (see section 2) must include a commitment from the partners, in line with the institutions' partnership policies

A letter of commitment in accordance with the proposed model (see Annexe 3 -) must be included in the application file for this call for proposals, if the proposed project is co-funded by an institution or a company.

In the case of co-financing by partners from outside the initiative, the agreement referred to (see section 1) must be signed by the partners within four (4) months of the project's selection (notwithstanding the actual start date of the project, particularly in relation to recruitment) in compliance with the call criteria, failing which the commitment to co-financing by CY Initiative will lapse. It will specify the start/end dates again in accordance with the notification of the winning projects.

3. Project budget

The budget requested must be detailed and justified in relation to the scientific project in the application file. Planned expenditure is divided into 3 categories: payroll, investment and operating costs. The following costs in particular are considered eligible (*non-exhaustive list*):

- <u>Payroll:</u> value the total cost to the employer.
 - For the HORIZON programme, this includes a doctoral or post-doctoral contract (or equivalent).
 - for doctoral contracts, budget 125,000 euros for 36 months.
 - for post-doctoral contracts, allow for a budget of 60,000 euros per year.
 - \circ ~ Other research staff recruited for the project:
 - public contracts for Research Engineers (IGR), Studies Engineers (IGE) or Research and Training Technicians (TRF);
 - private contracts for research assistants;
 - work-study contract.

Note: The environmental costs associated with each staff member recruited for the project are detailed under operating costs.

- Investment:
 - \circ all equipment costing more than €500 associated with the project,
 - including computers for staff recruited for the project.
- Operating budget:
 - Internship allowances, budget €670/month
 - Dissemination costs: participation in or organisation of conferences, translation costs, proofreading, publications (Open Science), patent registration costs, etc.
 - Coordination costs: organisation of and participation in meetings
 - $\circ \quad \text{Mission expenses: field campaign}$
 - o Scientific consumables
 - o Internal or external services
 - o Purchase of databases
 - Small equipment under €500

Applicants must contact their institution's administrative and financial support department to ensure that the project's budget complies with their institution's rules (see section 3.8).

Specific features of co-funded doctoral contracts:

- For **co-financing with a foreign university/institute**, the objective is to ensure 36 months of doctoral funding for the researcher-doctoral student, considering the time spent by the candidate in CY's laboratories. In certain cases, and in the context of a co-supervision with a partner institution where the usual duration of the thesis exceeds 36 months, co-funding over 48 months may be envisaged according to modalities to be defined on a case-by-case basis and in compliance with French regulations and those of the partner's country. The researcher/doctoral student will enter into a thesis scheme under co-supervision or co-direction. In the case of co-supervision that does not take the form of a French co-tutelle, the doctoral student must be enrolled in a CY Initiative doctoral school for the duration of his/her doctorate.
- For a **co-financing with a socio-economic partner**, the salary, including charges, of the person hired by the CY Initiative member institution will be co-financed by CY Initiative and the partner, with a transfer from the partner to the CY Initiative member as provided for in the partnership agreement.

Ineligible expenses

- Salary, part-salary or bonus for members of the project team
- Teaching leave for project team members
- Management/administration costs
- Structural costs

4. Justification of expenditure

The partner institutions of the CY Initiative project are required to complete an annual expenditure statement for the expenses incurred (services rendered) for each project.

This expenditure statement must be submitted for each financial year, with a return deadline set at 30 days (<u>contrat-pia@cyu.fr</u>).

At the end of the project, a final expenditure statement will also be completed to enable the payment of the remaining balance. The annual and final expenditure statements must be signed by the legal representative and certified by the accounting agent or auditor; if not available, by the chartered accountant.

Note: For projects led by researchers from CY Cergy Paris University, the PIA Contracts unit is responsible for the annual expenditure statement. The project leader does not participate in this step.

5. Recruitment

The recipients commit to ensuring maximum **visibility** for CY Initiative in the case of recruitment associated with the projects, using the available dissemination portals (notably EURAXESS, Campus

France, and possibly Nature Careers, Academic Positions, etc.). The support provided by CY Initiative must be mentioned in the job offers (see section 9).

The recruitment of doctoral or post-doctoral candidates must be accompanied by a **scientific report** (following the provided template³) submitted to the AAP coordination (<u>aap-cy-initiative@cyu.fr</u>) for scientific validation of the selected candidate(s) <u>before initiating the hiring process</u> specific to the recruiting institution. The project leader will be notified of the decision by the CY Initiative jury.

Note: Other types of contracts recruited for the projects (IGE, IGR, technician, etc.) do not require a report or scientific validation.

6. Project modifications

Substantial modifications to the project must be the subject of a written request (following the provided template³) that is duly justified and submitted to the AAP coordination (<u>aap-cy-initiative@cyu.fr</u>) at least <u>one (1) month before the effective date</u> of the modification (project end date for extension requests). This request will be validated by the CY Initiative scientific committee. The project leader will be notified of this decision in writing. Examples of what are considered substantial modifications to the project include changes concerning:

- the name of the project leader,
- the extension of the project duration by more than 3 months,
- modifications to the budget allocation corresponding to more than 7% of the total budget,
- changes to the research contract being recruited.

Requests for **non-substantial modifications** can be made without a written request, but the AAP coordination (<u>aap-cy-initiative@cyu.fr</u>) and the relevant administrative services must be informed. Examples of what are considered non-substantial modifications include changes concerning:

- expenses within investment or operational categories,
- the duration of a contract for recruitments outside of doctoral or post-doctoral positions,
- budget allocation modifications of less than 7% of the total budget,
- an extension of the project duration of 3 months or less.

Note: All modification requests must be made with a constant budget. No budget extensions will be granted.

7. Project reporting

Project leaders commit to integrating **research ethics considerations** and to consult the Research Ethics Committee of their institution before the start of the project, in cases involving non-interventional protocols involving human subjects⁴. Based on the information provided to the coordination, the project funding notification will indicate the need to consult the CER-CY.

³ Report templates are available on a dedicated online space: <u>https://box.cyu.fr/public/6d4ab374ba69</u>

⁴ Resources are available on a dedicated online space: <u>https://box.cyu.fr/public/6d4ab374ba69</u>

All projects must provide a **Data Management Plan** (DMP)⁴ according to the template provided by ANR (or the institution's template if available):

- within six (6) months after their start date;
- an updated version every two (2) years on the project's anniversary date;
- an updated version at the end of the project.

Scientific leaders must submit mandatory periodic scientific reports:

- For the HORIZON program, an intermediate scientific report (following the provided template³) will be prepared after 2 years from the project start date and submitted by the project leader within two (2) months to CY Cergy Paris University (<u>aap-cy-initiative@cyu.fr</u>).
- For all programs, a final scientific report (following the provided template) will be produced by the expiration date of the project execution period and submitted by the project leader within two (2) months to CY Cergy Paris University (ap-cy-initiative@cyu.fr).

8. Intellectual property and publications

The **partnership strategy and protection of results** (intellectual property, IP) will be developed in consultation with the management of each partner institution of CY Initiative employing the project leader prior to responding to the AAP.

IP clauses and principles related to results and their exploitation will be included in the agreement outlined in point 1 of this document. It is specified that the results arising from the collaboration will be co-owned by the academics and the participating company or companies in the project. The co-owning company may benefit from options and/or licenses for said results. In accordance with the intellectual property code, compensation equivalent to the market price will be paid by the partner or partners exploiting the said results to the other co-owners of those results.

It is noted that the supervisory bodies of the laboratories of CY Initiative members may mandate SATT Erganéo (formerly IdFInnov) for all necessary steps and actions to valorise the results, particularly the negotiation and management of any technology transfer agreements regarding intellectual property assets on which CY Initiative members hold ownership rights.

In the case of industrial and/or commercial exploitation, compensation equivalent to the market price must be provided for the non-exploiting co-owner.

Furthermore, the collaboration must ensure that the institutions are able to carry out their missions (research, teaching, publications, sharing/dissemination, valorisation, etc.) in accordance with Article L112-1 of the Research Code.

In the event that the work carried out under the project supported by CY Initiative leads to protection of results, such as a **patent** application or utility certificate in France or abroad, the PI must inform CY Initiative. The project leader is required to notify CY Initiative of any assignment or license of the relevant patent.

Members of the project team commit to depositing scientific publications (full text) resulting from the research, development, or innovation project in an **open archive**, either directly in HAL or through a local institutional archive, in accordance with Article 30 of the Law on "A Digital Republic.

9. Communication

The principal investigator undertakes to participate in **communication operations**, in particular the CY Initiative AAP kick-off day on <u>17 June 2025</u> (to be confirmed) and articles from the CY Initiative communications team. Unless there is prior written opposition from the principal investigator, CY Initiative may communicate on the general objectives of the funded projects, their goals and their results.

The principal investigator undertakes to **mention the support provided by CY Initiative** under the "Investissements d'Avenir" programme, showing the CY Initiative and the France 2030 logos⁵, in its own communications about the funded project (oral communications, posters, websites, publications), recruitment offers and the organisation of scientific events.

For example: "This work was funded by CY Initiative under the French government grant "Investissements d'avenir" #France2030."

On social networks: the hashtags *#France2030* and *#CYInitiative* must be used.

⁵ Logos are available on a dedicated online space: <u>https://box.cyu.fr/public/6d4ab374ba69</u>

<u>Annexe 2 -</u> Eligible Institutions and research centres

CY Initiative internal researchers minimum 2 researchers working in								
Eligible Institutions AI	ND Eligible Research Centres							
CNRS	AGM o BioCIS o CESDIP o ETIS	HERITAGESLAMBELERMA	 LPTM MATRIS SATIE THEMA 					
CY Cergy Paris University	 AGM AGORA BioCIS BONHEURS CESDIP CHArt CPJP DICO EMA 	 ERRMECe ETIS GEC <i>GRHAPES*</i> HERITAGES L2MGC LAMBE LDAR LEJEP LERMA 	 LPPI LPTM LT LT2D MATRIS PARAGRAPHE PLACES SATIE THEMA 					
ESSEC	○ CERESSEC	o THEMA						

*subject to INSEI joining CY Cergy Paris University

External researchers

Partnerships out of CY Initiative perimeters (external researchers) are encouraged but are not eligible for received funding. Members of eligible research centres coming from other institutions are considered as external researchers. The same applies to members of an eligible institution who are part of a non-eligible research centre.

Annexe 3 - Template of the letter of engagement, in the case of co-financing

Letterhead of the partner (institution or company)

Engagement Letter from (name of partner)

Subject: Engagement letter from (name of the company/institution) for the project (name of the project) within the framework of Call for Projects (name) / Collaboration (name)

I undersigned, (Surname, Name) legal representative of (name of the company/institution), (state legal status), registered with the SIRET (or equivalent) XXXX, whose headquarters are registered at the following address (insert address), confirm by the present letter, the engagement of the (company/institution name) to co-finance (co-fund) the project (name of project) by allocating to it resources in kind and in monetary terms:

Resources in kind:

Please list the resources that will be made available (infrastructure, manpower, etc) and indicate the financial value that they represent.

Monetary contribution

Please indicate here the amount that will be given to the laboratory (project)

The modalities will be described in the collaboration contract that will be concluded between CY Cergy Paris University and (name of the company/institution) in the event of obtaining the funding requested in the call for projects. As stated in the rules of CY Initiative call of projects, (name of the company/institution) hereby accepts the principle that the results of the collaboration will be the joint ownership of the academics and of (name of company/institution). In accordance with Intellectual property legislation, the principle of a fair financial return from the partner(s) exploiting the said results to the other co-owners of the said results will be recalled in the provisions of the agreement.

(Date & place) Signature

<u>Annexe 4 -</u> Main calls for external projects

NB: some past dates are given for information only, as the timelines for calls are often renewed from one year to the next.

ANR

Link to view current calls: <u>https://anr.fr/fr/appels/</u>

The main dates are:

Opening of generic call of projects (AAPG) from the French Research National Agency (ANR), <u>https://anr.fr/fr/detail/call/aapg-appel-a-projets-generique-2024/</u> open to all types of projects. Closure on **19/10/2024.**

Here are the dates for the next ANR calls:

- Jully 2025: 2025 Action Plan and 2025 AAPG publication
 - early September 2025: 2025 AAPG guide publication, application and attestation PRME templates
 - Mid-September 2025: Opening of the Stage I deposit platform, for JCJC, PRME, PRC, PRCE projects & PRCI project registration
 - 19 October 2025, 17:00 (CET): Closure of the Stage I deposit & registration platform
 - Mid-February 2026: Notification of the first stage to the coordinators of eligible projects
- <u>AAP MRSEI:</u> Opening early 2025 Closure September 2025
- <u>AAP LABCOM</u>: The LabCom call for proposals, which aims to support academic research players in the co-construction of "Laboratoires Communes" with companies (PMEs, ETIs), is now open to start-ups. The ANR is continuing to look at ways of developing this funding instrument as part of the LPR, whose appended report envisages doubling the number of LabComs by 2027.

NON ANR

- A new <u>Carnot call</u> will open in the second half of 2024. On a continuous basis, it will confirm the commitment of current institutes and open the door to new applications.
- <u>AAP Astrid & Astrid Maturation</u>: opening January 2025 (no more detail for 2025) <u>https://anr.fr/fr/detail/call/accompagnement-specifique-des-travaux-de-recherches-et-dinnovation-defense-maturation-et-valorisa-10/</u>
- <u>AAP SHS Franc-Germany</u>: opening December 2024 deadline for the application submission March 2025. <u>http://www.dfg.de/france/anr_nle</u>
- <u>AAP FSP http://www.sciences-patrimoine.org/recherche/appel-a-projets/aap2023/</u>
- <u>AAP ADEME APR</u>: deadline for the application submission March 2025. The research projects call (APR) aims to encourage the emergence of R&D projects in support of public policies to accompany, facilitate and accelerate the ecological and energy transition of buildings undergoing renovation and construction. <u>https://agirpourlatransition.ademe.fr/entreprises/aidesfinancieres/20230616/appel-a-projets-recherche-vers-batiments-responsables-2024?cible=79</u>
- <u>AAP IUF</u>: IUF campaign, 2025 cohort submission deadline on the IUF submission website before November 2025, for all applicants (Juniors et Seniors). <u>https://facdedroit.univ-amu.fr/fr/taxonomy/term/238</u>

CY Initiative – Call for research projects 2025

• <u>AAP ANRS</u>: Hospital programme for clinical research into emerging and re-emerging infectious diseases. Opening August 2025 - Deadline for submission October 2025. <u>https://anrs.fr/fr/actualites/actualites/opening-de-lappel-a-projets-rech-mie-2023-2</u>

Horizon Europe/ERC

Calls for Horizon Europe will be published as and when the final Work Programs are released.

<u>ERC</u>

- **ERC ADV**: opening May 2025 closure August 2025 (excellence scholarship for doctoral degree holders of more than 12 years);
- **ERC COG**: opening October 2025 closure January 2026 (excellence scholarship for doctoral degree holders under 12 years and over 7 years);
- **ERC STG**: opening July 2025 closure October 2025 (excellence scholarship for doctoral degree holders under 7 years and more than 3 years);
- **ERC SYG**: opening June 2025 closure November 2025;

Clusters Calls

- Cluster 1 Health: non-announced opening
- Cluster 2 Culture, Creativity & Inclusion: non-announced opening
- Cluster 3 Safety of civil society: non-announced opening
- Cluster 4 Digital: non-announced opening
- Cluster 5 Climate & Mobility: non-announced opening
- Cluster 6 Natural Resources: non-announced opening

Marie-Curie Actions

- Post-doctoral Fellowship: opening Juen 2025 Closure October 2025
- Doctoral Network: opening July 2025 closure November 2025 (creation of a doctoral training network)
- Staff Exchange: opening October 2025 closure February 2026 (scientific mobility)

Others :

- EIC Pathfinder Challenge: closure mi-October 2025
- COST Programme: coluse October 2025