



Initiative of excellence

**Support for scientific excellence and the internationalisation of
research**

Action Plan 2024

Calls for proposals and application details

03/11/2023

Pre-application deadline: 30 November 2023, 13:00 CET.

Application deadline: 25 January 2024, 13:00 CET.

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1. Context, objectives and priorities

1.1. Institutional Setting

CY Initiative launches an eighth action plan in support of scientific excellence and the internationalisation of research.

CY Initiative is the Excellence Initiative of CY Cergy Paris University - the result of the merger of the University of Cergy-Pontoise and EISTI - and ESSEC Business School. CY Initiative has an annual budget of €7.4 million, 75% of which (€5.1 million) is dedicated to scientific development. The main objective of CY Initiative is to promote research excellence and to strengthen the scientific potential of partner institutions.

The CY Initiative research funding programs will be joined, starting in 2023, by the similar program of **CY Generations**. The CY Generations project brings together academic, socio-economic and territorial partners around the [CY Alliance](#). Its objective is to design solutions for sustainable innovation, through research, training and entrepreneurship to meet the challenges of ecological and societal transition. The CY Generations program, which is associated with the CY Initiative call, has an annual budget of 0.6 million euros to fund multidisciplinary research projects that address these issues, in line with the objectives of sustainable development.

The CY Generations call addresses the **Emergence** and **Horizon** programs, described in section 2, but asks the applicants to meet in addition the specific elements of the CY Generations call: teams from several research laboratories within the perimeter of CY Generations, multidisciplinary approach that addresses at least one challenge related to sustainable development. Leaders of projects respecting these criteria (sustainable development objectives addressed by a multidisciplinary approach) are strongly encouraged to apply to the CY Generations call. Moreover, since the CY Initiative perimeter is included in that of CY Generations, the submission of these projects to both calls, CY Initiative and CY Generations, is possible and encouraged.

1.2. Objectives

The main objective of the 2024 action plan is to strengthen the scientific potential of CY Initiative. It aims to increase the scientific production and its impact, to strengthen the openness and international visibility and to foster collaborations, both internally and with external partners.

The 2024 action plan is in line with the calls for proposals open since 2017. It is based on 3 funding programmes, Talent, Emergence and Horizon, presented in section 2. The two other research programmes funded by the CY Initiative, Fellows-in-Residence (managed by CY Advanced Studies), for the hosting of researchers in residence, and EUTOPIA PhD cotutelle (managed by the Doctoral and Postdoctoral College), for the funding of PhD in cotutelle with EUTOPIA partners, are the subject of specific calls for proposals.

The 2024 Action Plan supports original research projects, carried out by both individual researchers and research teams, which aim to explore new research themes or consolidate existing expertise. The scientific quality of the projects, their contribution to the visibility of CY research and to the dynamics of excellence of CY Initiative will be decisive.

The action plan also aims at strengthening the attractiveness and visibility of CY Initiative internationally by attracting young talented researchers willing to develop their research in one of CY's research teams and foreign researchers willing to engage in collaborations with these teams. The targeted projects will also contribute to the strengthening of doctoral and post-doctoral training.

The action plan encourages the deployment of ambitious research strategies developed at the level of CY Initiative teams, laboratories and departments. The selected projects will have a structuring effect on the research activity of the research centres and laboratories, allowing them to lift the identified development locks and explore high-potential research areas in order to take a major step towards increased visibility and impact. The action plan also encourages the emergence of cross-cutting projects involving several CY Initiative research entities.

The 2024 Action Plan promotes the development of international scientific collaborations, in particular with the strategic partners of CY Initiative as part of its international Europe-Africa-Asia strategy: in Europe with all the partner universities of the European university EUTOPIA ([University of Warwick](#), [Vrije Universiteit Brussel \(VUB\)](#), [University of Ljubljana](#), [University Pompeu Fabra](#) and [University of Goteborg](#) - and from Fall 2021 - [Ca' Foscari University of Venice](#), [Technische Universität Dresden](#), [NOVA University Lisbon](#), [University of Babes-Bolyai](#)). In Asia, a privileged partnership has been established in Singapore with the [Nanyang Technological University](#), as well as within the international research laboratory IPAL with the [National University of Singapore](#) and [A*STAR](#), and in South Africa with the [University of the Western Cape](#). Others are under development (Arizona State University, several universities in Cameroon, University of Mauritius, ...). The projects of collaboration with these institutions is strongly encouraged, but collaboration with other institutions will also be appreciated and evaluated.

It is expected that the projects funded under the various programmes of the 2024 action plan will initiate a dynamic enabling, at the various levels of researchers, research teams and institutions, a sustainable strengthening of scientific potential, positioning and visibility. In particular, the selected projects will have to demonstrate their ability to attract external funding that will enable them to extend their scientific ambition beyond the funding obtained under the 2024 action plan.

1.3. Areas of Research

This call for proposals is open to basic or applied research projects in all scientific disciplines.

Beyond the scientific quality of the projects, CY Initiative aims to shed light on major contemporary societal issues in the light of the research results. These major issues will be approached in their global complexity, by favouring an interdisciplinary approach, mobilising different disciplines within the major disciplinary fields, and crossing, in a broader way, social sciences or modelling sciences with other disciplinary fields. The call for proposals complements existing schemes to support scientific dynamics, in particular the Laboratoires d'Excellence (labex). It will make it possible both to extend the dynamics of excellence to other scientific fields and to strengthen the centres of excellence already labelled. Projects in the field of the labex must be submitted to the latter as a priority. If they are also submitted in the framework of this call for proposals, the submission must be specified, explained and justified.

Projects whose central objective is collaboration with a company and which aim at research leading to industrial or socio-economic applicability, will be able to rely on the financing tools provided by [CY Transfer](#).

2. Funding Programmes

CY Initiative's 2024 action plan articulates three funding programmes:

1. The **Talent programme** aims to support the recruitment of young researchers with high potential;
2. The **Emergence programme** aims to support the development of innovative research projects, led by researchers with a view to preparing an application for external funding;

3. The **Horizon programme** aims to support ambitious research programs, developed at the level of a research team and aimed at preparing an application for large-scale external funding, particularly European funding;

These three programmes complement existing funding schemes at national (notably ANR) and international level (notably Horizon Europe) and the research support programmes set up in the framework of the European university EUTOPIA (notably the [EUTOPIA SIF](#) postdoctoral programme), as well as the Fellows-in-Residence and EUTOPIA PhD cotutelle programmes, mentioned above. It is expected that CY Initiative researchers and research teams, candidates to the programmes of the 2024 action plan, will elaborate a development strategy articulating, in the medium term, the different internal and external support instruments.

2.1. The Talent Programme

The Talent programme aims to support the recruitment of high potential young researchers who wish to develop their research in one of CY Initiative's research teams.

The programme encourages applications from researchers with **between two and ten years of postdoctoral experience** and high research potential, as evidenced by their previous academic achievements and the level of ambition and innovation of the proposed project.

The programme offers an attractive remuneration, research budget and working environment for a **period of 3 years** in the form of integrated junior chairs. Applicants are not eligible if they have held a research position (including PhD) in one of the CY Initiative institutions before the opening date of the present Call for Proposals. The programme is open to researchers from public scientific and technological institutions (EPST) wishing to carry out research in one of our associated laboratories.

Each recruitment will be based on a global research project, which the candidate will implement in a CY Initiative laboratory / research team during the duration of his/her commitment and which will be fully integrated in the strategy of the host laboratory and/or research team. The projected impact on the strategy of the laboratory/research team, its development and evolution should be detailed in the application.

Applicants must commit to submit applications to additional external funding(s) (ERC, Horizon Europe, ANR, see non-exhaustive list in Appendix 1 -) during their contract with CY Initiative, in order to complement the funding of this call. The way in which this funding will help to achieve the objectives of the project must be detailed in the application.

Financial support for this programme may include the salary and/or teaching release of the recruited researcher, a research budget, funding for the recruitment of PhD students (if the TALENT is entitled to direct research, or if another researcher with HDR is part of the project team and is in charge of the supervision of the PhD student) or post-doctoral fellows related to the candidate's research project.

Indicative funding: 250 to 350 k€ per project.

Expected number of funded projects (estimate): 2 projects.

2.2. The Emergence Programme

NB: The Emergence programme is open in the two calls, CY Initiative and CY Generations. Subject to eligibility, it is possible to submit a double application.

Emerging research projects with high potential may be supported for a **period of two years**, up to a maximum of €50k. The objective of this financial support is to enable the advancement of research work and the setting up of a project with a view to applying for external financial support at national (notably ANR), European (ESF, ERC, FP, Horizon Europe - see non-exhaustive list in Appendix 1 -) or

international level. Applicants for this support undertake to apply for external funding, as a promoter, to national or international agencies during the two years covered by the project.

The project submitted must involve the work of a small team (at least two researchers), allow the exploration of new research themes, the establishment of a network of partners for the submission of the external funding application and be in line with the scientific development strategy of the supporting entities, institutions and CY Initiative of Excellence ("research areas", above).

Indicative funding: 25 to 50k€ per project

Target number of projects (estimate): 6 projects

2.3. The Horizon Programme

NB: The Horizon programme is open in the two calls, CY Initiative and CY Generations. Subject to eligibility, it is possible to submit a double application.

*The Horizon programme aims to support ambitious scientific projects in strategic research areas that will have a significant leverage effect on the production, impact and scientific visibility of teams. **The projects will be financed over 4 years** and will allow the team to position itself with international visibility in the targeted strategic research field, with a view to preparing an application for large-scale external funding, particularly at European level.*

Projects submitted to the Horizon programme will be carried out at the level of a research team. Teams can be anchored in existing laboratories or research departments but can also bring together researchers from different CY Initiative research centres or laboratories in an interdisciplinary project.

Applications must demonstrate both the excellence of the research and its impact. Projects will be at the heart of an explicit strategy highlighting the expected structuring effects in terms of international visibility (as evidenced by the impact of the project on publications and rankings), scientific positioning, external partnerships and attractiveness. Applicants should explain the societal relevance of their project and the proposed dissemination and impact strategies.

The second area of project evaluation is that of building of the network of researchers in preparation for applying for large-scale external funding. Applicant projects should detail the strategy for building a consortium capable of successfully applying for the targeted external funding (see non-exhaustive list in 0).

A mid-term report will be requested from the project leader, in order to assess the progress of the scientific aspects and the constitution of the network of researchers.

The financial support granted to this programme in the framework of this call for proposals may include: a research budget, teaching release time for the researchers involved, funding of human resources (PhD students, research assistants, post-doctoral students).

Indicative funding: 150 to 200k€ per project

Target number of projects (estimate): 4 projects

3. Practical details of the Calls for Proposals

3.1. Timeline and General Provisions

The timing of the calls for proposals of the 2024 action plan for the *Emergence, Horizon and Talent* programmes is as follows:

- Opening of the calls for proposals: **6 November 2022**

- **Pre-submission** of a project summary: until **30 November 2023**, at 13:00 CET.
- **Submission** of final projects until **25 January 2024**, at 13:00 CET.

The submission must be made online, from the opening date, via the application platform: <https://cy-initiative.smapply.io>.

3.2. Content of the application

The application documents for the *Talent*, *Emergence* and *Horizon* programmes will be available **from 6 November 2022** via the platform: <https://cy-initiative.smapply.io>

The final project can only be submitted by **projects that have submitted a pre-application**.

The application must include a presentation of the scientific project, a description of the scientific development strategy in which it fits and its implementation details. The objectives of the project in terms of scientific quality, impact and visibility must be described, as well as, for the *Talent and Horizon programmes*, their structuring effect on the strategy of the laboratory or research team submitting / supporting it.

The application file is completed by an appendix and a support letter from the director of the research centre hosting the project.

The application can be written in English or French. English will be given priority to allow evaluation by non-French speaking experts.

3.3. Eligibility

- The *Talent Programme* is open to applicants not already working in one of CY Initiative's partner institutions (CY Cergy Paris University and ESSEC Business School) before the opening date of the Call for Proposals.
 - Candidates must have between 2- and 10-years' postdoctoral experience at the time their contract starts (September 2024).
 - All applications must be explicitly supported by the CY Initiative laboratory(ies) to which the candidate will be affiliated in case of recruitment. The motivated and detailed opinion of the head of the research team hosting the project must be included in the application. Candidates for the Talent Programme are strongly encouraged to liaise with the director of the prospective host laboratory from the very beginning of the preparation of their application to jointly define a research project that is relevant for both the candidate and the host laboratory.
 - The list of CY Initiative host laboratories for the TALENT programme, including the link to the laboratory sites for establishing contact, is specified in the TALENT Program Description which can be found on the online application platform.
- For the *Emergence programme*, applications are open to researchers working in one of CY Initiative's partner institutions (CY Cergy Paris University and ESSEC Business School) and also members of one of these institutions' research laboratories. CNRS researchers who are members of these laboratories are also eligible. The project must involve the work of a team (at least two researchers).

- For the *Horizon programme*, the conditions are similar to those of the Emergence programme, but the project must bring together a team that justifies the ambition of the scientific project and of the application for major external funding.

NB: Doctoral students or candidates for a doctoral or post-doctoral fellowship under this call for proposals are not allowed to apply by themselves. Applications for funding for such recruitments may be included in Talent or Horizon projects and submitted by the project leader. Full applications, including the pre-identified candidate, will be preferred for doctoral and post-doctoral fellowships.

3.4. Evaluations and results

Applications will be evaluated by the scientific committee of the call for proposals. The committee will rely on evaluations by recognised experts from outside CY Initiative of the submitted applications.

The evaluations and selection proposals of the scientific committee will be forwarded to the CY Initiative Executive Board for decision.

The results will be published in May 2024.

The criteria considered for the evaluation will be:

- The scientific quality and innovative character of the project;
- The strategic nature of the project and its contribution to the scientific development of CY Initiative;
- The expertise of the project leader and the quality of the scientific environment;
- The impact of the project;
- The sustainability of the project and its ability to attract external funding.

*NB: the 2024 action plan gives **priority to funding doctoral contracts** over postdoctoral contracts. Projects applying for post-doctoral funding will have to present the arguments (scientific, project organisation, etc.) for the need to use this type of contract, as opposed to doctoral contracts.*

3.5. External funding

Selected projects are encouraged to complement the funding of this call with funds from national or international agencies (Horizon Europe, ANR, etc. see Appendix 1 -).

These funds will allow either a sufficient level of funding to achieve the objectives of the project during the period of the present call (simultaneous external funding, if possible), or to extend the project beyond the period of the present call to achieve the final objectives (subsequent external funding). Applications will include a detailed presentation of the financing and deployment strategy of the project, including external funding.

For projects involving funding from external partners (private partners or universities) identified at the time of submission, an agreement of these partners must be attached to the application. The final partnership agreement, including all financial and intellectual property aspects, can however be finalised within the months following the results of this call for proposals, and before October 2024.

3.6. Administrative and financial rules

The administrative and financial rules for the *Talent*, *Emergence* and *Horizon* programmes are available in Appendix 2 -.

NB: For complex administrative or financial arrangements, or for any question concerning these rules, applicants are invited to make their intention to apply for one of these calls known to their administrative and financial contact as soon as possible and in any case before 4 December 2023. They will receive additional information on this framework and assistance in setting up the project, as well as for the envisaged recruitment.

Contacts:

- Contact for the coordination of the call for proposals (general information, selection process, technical assistance on applications platform): aap-cy-initiative@cyu.fr
- Contact for administrative and financial support in setting up projects (depending on the institution of the project leader):

Institution of the research lab of the project leader	Administrative and financial support contact
CY Cergy Paris University	services.valorisation@cyu.fr Direction for Research
ESSEC Business School	Celine Alves-Pinto: alvespinto@essec.edu Director of Institutional Partnerships and Public Funding Johanna Brookman: brookman@essec.edu External Grants Team – European Projects

Appendix 1 - Main calls for external projects

NB: some past dates are given for information only, as the timelines for calls are often renewed from one year to the next.

ANR

Link to view current calls: <https://anr.fr/fr/appels/>

The main dates are:

Opening of generic call of projects (AAPG) from the French Research National Agency (ANR), <https://anr.fr/fr/detail/call/aapg-appel-a-projets-generique-2024/> open to all types of projects. Closure on **19 October 2023**.

- 12 July 2023: 2024 Action Plan and AAPG publication
 - early September 2023: 2024 AAPG guide publication, application and attestation PRME templates
 - Mid-September 2023: Opening of the Stage I deposit platform, for JCJC, PRME, PRC, PRCE projects & PRCI project registration
 - 19 October 2023, 17 :00 (CET): Closure of the Stage I deposit & registration platform
 - Mid-February 2024: Notification of the 1st stage to the coordinators of eligible projects
- AAP MRSEI: Closure 9 October 2023 <https://anr.fr/fr/detail/call/montage-de-reseaux-scientifiques-europeens-ou-internationaux-mrsei-2023/> (no info for 2024 for now)
- AAP LABCOM: The LabCom call for proposals, which aims to support academic research players in the co-construction of “Laboratoires Communes” with companies (PMEs, ETIs), is now open to start-ups. The ANR is continuing to look at ways of developing this funding instrument as part of the LPR, whose appended report envisages doubling the number of LabComs by 2027. To mark the 10th anniversary of the programme, the ANR is organising an event on Thursday 23 November 2023, at the “Maison de la Chimie” in Paris, to promote meetings between public and private research players, encourage the sharing of experience and present the results of supported projects. <https://anr.fr/fr/actualites-de-lanr/details/news/plan-daction-et-aapg-2024-de-lanr-les-evolutions-a-retenir/>
- A new Carnot call will open in the second half of 2024. On a continuous basis, it will confirm the commitment of current institutes and open the door to new applications.
- AAP Astrid & Astrid Maturation: opening January 2023 (with industrial company), 1st session 13 February 2023, 2nd session 02 June 2023 (no info for 2024 for now) <https://anr.fr/fr/detail/call/accompagnement-specifique-des-travaux-de-recherches-et-dinnovation-defense-maturation-et-valorisa-10/>
- AAP SHS Franc-Germany: deadline for the application submission at DFG: 10 January 2024. http://www.dfg.de/france/anr_nle
- AAP FSP <http://www.sciences-patrimoine.org/recherche/appel-a-projets/aap2023/>
- AAP ADEME 2024: The research projects call (APR) aims to encourage the emergence of R&D projects in support of public policies to accompany, facilitate and accelerate the ecological and energy transition of buildings undergoing renovation and construction. <https://agirpoulatransition.ademe.fr/entreprises/aides-financieres/20230616/appel-a-projets-recherche-vers-batiments-responsables-2024?cible=79>
- AAP IUF: IUF campaign, 2024 cohort – submission deadline on the IUF submission website before 6 November 2023 (0:00, CET), for all applicants (Juniors et Seniors). <https://facedroit.univ-amu.fr/fr/taxonomy/term/238>

- **AAP ANRS:** Hospital programme for clinical research into emerging and re-emerging infectious diseases. Deadline for letter of intent submission: 1st October 2023. Deadline for full project submission: 14th March 2024. <https://anrs.fr/fr/actualites/actualites/opening-de-lappel-a-projets-rech-mie-2023-2>

Horizon Europe/ERC

Calls for Horizon Europe will be published as and when the final Work Programs are released.

ERC

- **ERC ADV:** opening 8 December 2022 – closure 23 May 2023 excellence scholarship for doctoral degree holders of more than 12 years);
- **ERC COG:** closure 12 December 2023 (excellence scholarship for doctoral degree holders under 12 years and over 7 years);
- **ERC STG:** closure 24 October 2023 (excellence scholarship for doctoral degree holders under 7 years and more than 3 years);
- **ERC SYG:** closure 8 November 2023;
- **Proof of Concept Grant:** opening 16 November 2023, closures: 14 March / 17 September 2024.

Appels Clusters

- **Cluster 1 Health:** open continuously over several submission periods
- **Cluster 2 Culture, Creativity & Inclusion:** opening October 2023, closure February 2024
- **Cluster 3 Safety of civil society:** opening end-June, closure November
- **Cluster 4 Digital:** opening end-September, closure February
- **Cluster 5 Climate & Mobility:** open continuously over several submission periods
- **Cluster 6 Natural Resources:** opening mid-October, closure February

Actions Marie-Curie

- **Post-doctoral Fellowship:** opening April 2024
- **Doctoral Network:** closure end-November 2023
- **Staff Exchange:** opening October, closure 28 February

European Innovation Council

- **EIC Pathfinder Challenge:** closure mi-October 2023
- **Other calls to be announced soon**

Programme COST

- This programme aims to strengthen scientific and technological research by supporting cooperation through networking activities;
- To consult the actions in progress (covering the costs of participating in events organised under this action) or to submit an action as a coordinator (organisation of conferences, training school, short mission and seminar): <https://www.cost.eu/>
- Several dates per year

Appendix 2 - Submission Guide and administrative and financial regulations

Scientific contact: aap-cy-initiative@cyu.fr

1) Timetable and procedure for the call for proposals

Main deadlines:

- Opening of the application platform: **6 November 2023 0:01 CET**.
- Pre-submission of the project: **before 30 November 2023, 13:00 CET**
- Contact the Project Engineering (CY) or grants office (ESSEC): **before 4 December 2023**
- Submission of final projects: **before 23 January 2023, 13:00 CET**
- Announcement of results: **early May 2023**

Once the projects have been selected, a notification letter will be sent to the project leader. Then an agreement and a scientific and financial implementation follow-up will be set up. The research work and the implementation of the funding allocated during this call for proposals must start before the end of the civil year.

Submissions must be made on the online application platform, available at the following address: <https://cy-initiative.smapply.io>

2) Constitution of the application file

The **pre-application** file consists of an online form containing a presentation of the project team, key words and summary of the project (300 words maximum). These elements may be modified during the final submission. A data protection disclaimer must be validated by the principal investigator.

The final project can only be submitted by **projects that have submitted a pre-application**.

To be accepted, the final application must include:

- the completed online form (same elements as for the pre-application),
- the main application document (PDF),
- the appendix document (PDF),
- the letter of support from the director of the research centre hosting the project (PDF).

The application may be written in English or French. English will be preferred. The main document and the annexed document, must be in accordance with the templates provided on the online platform: <https://cy-initiative.smapply.io>

The main application document includes a presentation of the scientific project, a description of the scientific development strategy in which the project fits and its implementation details. The objectives of the project, in terms of scientific quality, impact and visibility must be precisely described. The application is supplemented by information provided in the appendix (CV of the team members, bibliography...).

The application may be submitted by a laboratory, a research team or a department for the HORIZON and EMERGENCE programmes. In these cases, the Principal Investigator and the team members proposing the project to the call must already be working in one of the partner institutions of the CY Initiative or the UMRs associating the CNRS with one of these institutions, at the time of submission of the project (so-called internal team). Researchers coming from other institutions may be associated with the project (so-called external team), but their research

centres may not receive direct funding from the CY Initiative.

For the TALENT programme, only external applications will be accepted; no researcher in a member institution of CY Initiative before the opening date of the call for proposals (including PhDs) will be able to apply.

In all cases, **the precise and detailed opinion of the head of the entity hosting the project**, entity to which the applicant belongs for the HORIZON and EMERGENCE programmes, and entity of the future entity envisaged for the TALENT programme, must be included in the application file. It will be sent separately, via the electronic submission platform by 25 January 2024.

3) Application engineering

Principal Investigators are invited to contact the departments in charge of administrative and financial support for each member institution of CY Initiative (research office for CY, grants office for ESSEC) in order to consolidate, upstream and before submission, the projects (financial, eligibility), and if necessary the partnership dimension of the projects (financial and intellectual property). The management of the institutions must be contacted as soon as possible and in any case **before 4 December 2023**, in order to be able to check and correct, if necessary, the cost calculations that must be included in the file before the submission deadline.

4) External partnerships

In the case of co-financing or collaborative projects, and therefore discussions with external and/or industrial partners, it is strongly advised to have a confidentiality agreement (NDA) signed. In the case of co-financing with a socio-economic partner, the above-mentioned directorates in charge must be contacted in order to consolidate the partners' commitments (intellectual property (IP) and financial aspects) by mid-January. Co-financing (cf. § 7)) must include a commitment by the partners, in accordance with the partnership policies of the institutions.

A letter of commitment in accordance with the proposed model (Appendix 3 -) must be included in the application file for the present call for proposals, in the case of co-financing of the proposed project by an institution or company.

5) Selection of projects

The selection of projects will be made on the basis of the complete proposal submitted on time on the submission site (including annexes and opinion of the head of the entity hosting the project).

6) Agreement and implementation of projects

After the selection, the implementation of the selected projects will take place in several stages:

- Notification to the applicant specifying the start date, amount, duration and any preconditions, etc.
- Drawing up and signing of a financing agreement.
- In case of co-financing, elaboration and signature of an agreement between the external partner and the CY Initiative member partner, which will specify the partnership implementation modalities (project management, co-financing, IP regime). The Directorates in charge of each Institution will be responsible for this agreement on behalf

of their teacher-researchers.

- Hiring of researchers-docs, post-docs or engineers by the CY Initiative member Institution, according to the needs identified by the project in compliance with the procedures of each Institution. Recipients undertake to ensure, in case of future recruitment, the highest visibility for CY Initiative by using the available dissemination portals (notably EURAXESS, Campus France, Nature Careers, Academic Positions, etc.).
- Progress of the work according to the established timetable.
- Project reporting (see 9)).

7) Financing and co-financing of the project

Project funding will have one or two components:

- A contribution from the CY Initiative
- Where appropriate, a contribution from one or more external partner(s), or, in the case of co-financing, from another funding source.

These contributions will be used for the various expenses related to the project, including salaries (charges included), of the people hired, equipment (on the part co-financed outside CY Initiative) and the costs of the project itself (on the part co-financed outside CY Initiative only), operating costs, etc. CY Initiative contribution cannot be used to finance teaching leave or management costs.

In the case of co-financing, it is expected that the laboratory/external partner(s) (including the company(ies)) will have a balanced funding on these cost categories. The external partner(s) is (are) fully entitled to contribute more than this if equipment purchases prove necessary.

The full cost of the project borne by the partners must be submitted (whether co-financed or not).

The academic laboratory teams will have to provide a cost sheet evaluating all the resources committed by the research unit and by the supervisors to the project, in liaison/with the support of the management in charge of their institution.

The institutions of the academic laboratories will bear the costs of the infrastructure/environment and permanent staff, and CY Initiative will bear part of the additional costs necessary for the realisation of the project.

The financing of the different actions mentioned in the 2024 Action Plan will have to consider the following points:

- For doctoral co-financing, the amount to be covered is 120k€ for a 36-month period (salary costs) plus environmental costs, which are estimated at around 10k€ (possible variation according to disciplines).
 - For co-financing with a foreign university/institute, the objective is to ensure 36 months of doctoral funding for the researcher-doctoral student, considering the time spent by the candidate in CY's laboratories. In certain cases, and in the context of a co-supervision with a partner institution where the usual duration of the thesis exceeds 36 months, co-funding over 48 months may be envisaged according to modalities to be defined on a case-by-case basis and in compliance with French regulations and those of the partner's country. The researcher/doctoral student will enter into a thesis scheme under co-supervision or co-direction. In the case of co-supervision that does not take the form of a French co-tutelle, the doctoral student must be enrolled in a CY Initiative doctoral school for the duration of his/her

doctorate.

- For a co-financing with a socio-economic partner, the salary, including charges, of the person hired by the CY Initiative member institution will be co-financed by CY Initiative and the partner, with a transfer from the partner to the CY Initiative member as provided for in the partnership agreement.
- Postdoctoral contracts are valued at 55k€/year, to which an environment cost of 3k€/year can be added.
- For other types of contract (research assistant, apprentice, etc.), the principal investigator should contact the department responsible for project engineering (see 3)) to assess the amount of the payroll involved.
- These amounts are indicative and can be re-evaluated according to co-financing or the context of the discipline upon justification.

In case of co-financing from partners external to the Initiative, the agreement referred to in paragraph 6) must be signed by the partners within four (4) months from the selection of the project (notwithstanding the effective starting date of the project, in particular with regard to the recruitments to be carried out) in compliance with the criteria of the call, failing which the commitment of co-financing by CY Initiative will be null and void. It will specify again the start/end dates in accordance with the notification of the winning projects.

8) Intellectual property and publications

The partnership and **intellectual property** (IP) strategy will have been drawn up in consultation with the departments in charge of each partner institution that is a member of the CY Initiative, employer of the project leader, prior to the response to the call.

IP rules and principles relating to exploitation will be included in the agreement provided for in point 6 of this document. In particular, it is specified that the results resulting from the collaboration will be co-owned by the academics and the company(ies) participating in the project. The co-owner company may benefit from an option and/or licence for the said results. In accordance with the French Intellectual Property Code, the partner(s) exploiting the said results will pay a fee equivalent to the market price to the other co-owners of the said results.

It is specified that the institutions of the laboratories, members of the CY Initiative, will be likely to mandate SATT Ergané (ex-IdFInnov) for all steps and actions necessary for the valorisation of the results, notably "outside the field", in particular the negotiation and management of any technology transfer agreement concerning Intellectual Property assets on which the members of the CY Initiative hold property rights.

In case of industrial and/or commercial exploitation, a fee equivalent to the market price will have to be provided for the non-exploiting co-owner part.

Moreover, the collaboration must guarantee that the institutions are able to carry out their missions (research, teaching, publications, sharing/dissemination, valorisation, etc.) in accordance with article L112-1 of the French Research Code.

In the event that the work done within the framework of the project supported by CY Initiative leads to the **patent registration** or utility certificate in France or abroad, the principal investigator

must inform CY Initiative. The principal investigator must inform CY Initiative of any assignment or pledge of the patent in question.

The members of the project team undertake to deposit the scientific publications (full text) resulting from the research, development or innovation project in an **open archive**, either directly in HAL, or via a local institutional archive, under the conditions of article 30 of the French Law "Pour une République numérique".

9) Project reporting

Projects must provide a Data Management Plan (DMP) according to the model provided by the ANR (or the institution's model if it has one):

- within six (6) months after their start date;
- an updated version every two (2) years on the anniversary date of the project;
- an updated version at the end of the project.

Selected projects will be subject to mandatory periodic financial and scientific reports:

- A financial report will be produced at 31.12 every two (2) years and sent within two (2) months to CY Cergy Paris University;
- A scientific report (in accordance with the template provided) will be produced every two (2) years on the anniversary date of the project and sent within two (2) months to CY Cergy Paris University.

10) Communication

Unless there is prior written opposition from the principal investigator, CY Initiative may communicate on the general objectives of the funded projects, their goals and their results.

The principal investigator undertakes to participate in communication operations, in particular the CY Initiative AAP kick-off day in June 2024.

The principal investigator undertakes to mention the support provided by CY Initiative and the ANR under the "Investissements d'Avenir" programme, showing the CY Initiative and the France 2030 logos, and indicating the number of the ANR contract (*ANR-16-IDEX-0008*), in its own communications on the funded project, its results, the organisation of its events and in its publications (for example *"This work was funded by CY Initiative, a programme supported by the French National Research Agency (ANR) under the French government grant: "Investissements d'avenir" #France2030 (ANR-16-IDEX-0008)."*)

Appendix 3 - Template of the letter of engagement, in the case of co-financing

Letterhead of the partner (institution or company)

Engagement Letter from (name of partner)

Subject: Engagement letter from (name of the company) for the project (name of the project) within the framework of Call for Projects (name) / Collaboration (name)

I undersigned, (Surname, Name) legal representative of (name of the company or institution), (state legal status), registered with the SIRET (or equivalent) XXXX, whose headquarters are registered at the following address (insert address), confirm by the present letter, the engagement of the (company name) to co-finance (co-fund) the project (name of project) by allocating to it resources in kind and in monetary terms:

Resources in kind:

Please list the resources that will be made available (infrastructure, manpower, etc) and indicate the financial value that they represent.

Monetary contribution

Please indicate here the amount that will be given to the laboratory (project)

The modalities will be described in the collaboration contract that will be concluded between CY Cergy Paris University and (name of the company) in the event of obtaining the funding requested in the call for projects. As stated in the rules of the CY Initiative of Excellence call of projects, (name of the company) hereby accepts the principle that the results of the collaboration will be the joint ownership of the academics and of (name of company). In accordance with Intellectual property legislation, the principle of a fair financial return from the partner(s) exploiting the said results to the other co-owners of the said results will be recalled in the provisions of the agreement.

(Date & place)

Signature

Appendix 4 - Eligibility rules

➤ CY Initiative internal team <i>minimum 2 researchers working in...</i>				
Eligible Institutions	AND	Eligible Research Centres		
<ul style="list-style-type: none"> ○ CY Cergy Paris University ○ ESSEC Business School ○ CNRS 		<ul style="list-style-type: none"> ○ AGM ○ AGORA ○ BioCIS ○ BONHEURS ○ CERESSEC ○ CESDIP ○ CHArt ○ CPJP ○ DICO ○ EMA 	<ul style="list-style-type: none"> ○ ERRMECe ○ ETIS ○ GEC ○ HERITAGES ○ L2MGC ○ LAMBE ○ LDAR ○ LEJEP ○ LERMA 	<ul style="list-style-type: none"> ○ LPPI ○ LPTM ○ LT ○ LT2D ○ MATRiS ○ PARAGRAPH ○ PLACES ○ SATIE ○ THEMA

➤ External Team

Partnerships out of CY Initiative perimeter (external teams) are encouraged but cannot receive funding. Members of eligible research centres coming from other institutions are considered as external team members.